

07-16 JC18 Rec'd PCT/PTO 1 2 JUL 2001

FORM PTO-1390 (REV. 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 06-01 US	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. § 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/889368	
INTERNATIONAL APPLICATION NO. PCT/DE00/00065		INTERNATIONAL FILING DATE 1/7/2000		PRIORITY DATE CLAIMED 1/12/1999	
TITLE OF INVENTION MALATYL POLYSACCHARIDES, THEIR PRODUCTION AND THEIR USE					
APPLICANT(S) FOR DO/EO/US Waldemar Lazik					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. § 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. § 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. § 371(f)). (The submission must include items (5), (6), (9) and (21) indicated below.)</p> <p>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. § 371(c)(2)).</p> <p> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p> b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p> c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. § 371(c)(2)).</p> <p> a. <input checked="" type="checkbox"/> is attached hereto.</p> <p> b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. § 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. § 371(c)(3)).</p> <p> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p> b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p> c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p> d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. § 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. § 371(c)(4)). The declaration is a true and exact copy of the original.</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. § 371(c)(5)).</p>					
Items 11 to 20 below concern document(s) or information included:					
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.128 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. § 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. § 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. § 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Application Data Sheet</p>					
<p>"Express Mail" Label # EF 419 679 020 US - I her by certify that this paper or file is being deposited with the USPS "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on 07/12/2001, and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p> <p><i>Gudrun E. Hockett</i> Gudrun E. Hockett, Patent Agent</p>					

US APPLICATION NO. (if known, see 37 CFR

09/889368

INTERNATIONAL APPLICATION NO.
PCT/DE00/00065

ATTORNEY'S DOCKET NUMBER
06-01US

21 ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO **\$1000.00**

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO **\$860.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$710.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$690.00**

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100.00**
ENTER APPROPRIATE BASIC FEE AMOUNT =
CALCULATIONS PTO USE ONLY

\$ 860.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e))

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	10 - 20 =	0	x \$18.00	\$
Independent claims	3 - 3 =	0	x \$80.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable) 0			+ \$270.00	\$
TOTAL OF ABOVE CALCULATIONS =				\$ 860.00

\$

\$

\$

\$

TOTAL OF ABOVE CALCULATIONS =

\$ 860.00

☒ Applicant claims small entity status ☐ See 37 CFR 1.127 ☐ The fees indicated above
are reduced by 1/2 **+**

\$ 430.00

SUBTOTAL =

\$ 430.00

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f))

\$

TOTAL NATIONAL FEE =

\$ 430.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)) ☐ The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) **\$40.00** per property **+**

\$

TOTAL FEES ENCLOSED =

\$ 430.00

Amount to be
refunded:

\$

charged:

\$

a. ☒ A check in the amount of \$ 430.00 to cover the above fees is enclosed. (check # 1245)

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 50-1199. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card **WARNING:** Information on this form may become public. Credit card
information should not be included on this form. Provide credit card information and authorization on PTO-20381

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.37 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Gudrun E. Hockett, Patent Agent
P.O. Box 3187
Albuquerque, NM 87190-3187

SIGNATURE

Gudrun E. Hockett

NAME

35,747

REGISTRATION NUMBER

12 July 2001

U.S. APPLICATION NO. (if known, see 37 CFR

INTERNATIONAL APPLICATION NO.
PCT/DE00/00065ATTORNEY'S DOCKET NUMBER
06-01US21 ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.92 (a) (1) - (5)):**Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO **\$1000.00**International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO **\$860.00**International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$710.00**International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$690.00**International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100.00****ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

\$ 860.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e))

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	10 - 20 =	0	x \$18.00	\$
Independent claims	3 - 3 =	0	x \$80.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable) 0			+ \$270.00	\$

TOTAL OF ABOVE CALCULATIONS =

\$ 860.00

☒ Applicant claims small entity status (See 37 CFR 1.27). The fees indicated above
are reduced by 1/2.

\$ 430.00

SUBTOTAL =

\$ 430.00

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f))

\$

TOTAL NATIONAL FEE =

\$ 430.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) **\$40.00** per property +

\$

TOTAL FEES ENCLOSED =

\$ 430.00

Amount to be
refunded:

\$

charged:

\$

a. ☒ A check in the amount of \$ 430.00 to cover the above fees is enclosed. (check # 1245)b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 50-1199. A duplicate copy of this sheet is enclosed.d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card
information should not be included on this form. Provide credit card information and authorization on PTO-2038.**NOTE:** Where an appropriate time limit under 37 CFR 1.94 or 1.95 has not been met, a petition to revive (37 CFR
1.37 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Gudrun E. Hockett, Patent Agent
P.O. Box 3187
Albuquerque, NM 87190-3187

SIGNATURE

Gudrun E. Hockett

NAME

35,747

REGISTRATION NUMBER

12 July 2001